

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: KIM, Sang-Soo; and KIM, Dong-GyuApplication No./Patent No./Control No.: 10/525,039 Filed/Issue Date: September 7, 2005Entitled: THIN FILM TRANSISTOR ARRAY PANEL AND LIQUID CRYSTAL DISPLAY INCLUDING THE PANELSamsung Electronics Co., Ltd., a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

November 2, 2006

Date

Hugh Matsubayashi

(408) 392-9250

Printed or Typed Name

Telephone Number

Registration No. 43,779

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECORDATION FORM COVER SHEET
PATENTS ONLY

MGW Ref: 61920527US

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Sang-Soo KIM; Dong-Gyu KIM

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Samsung Electronics Co., Ltd.

Internal Address: _____

Street Address: 416, Maetan-dong, Yeongtong-gu

City: Suwon-si, Gyeonggi-do

State: _____

Country: The Republic of KOREA Zip: _____

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

Execution Date(s) August 3, 2005 and August 3, 2005

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

10/525,039, filed February 18, 2005

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Hae-Chan Park

Internal Address _____

Street Address: McGuireWoods LLP

1750 Tysons Boulevard – Suite 1800

City: McLean

State: Virginia Zip: 22102

Phone Number: 703-712-5000

Fax Number: 703-712-5280

Email Address: _____

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 1.21(h) & 3.41)

\$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

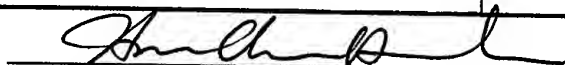
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 23-1951

Authorized User Name McGuireWoods LLP

9. Signature :



Signature

September 7, 2005

Date

Hae-Chan Park, Reg. No. 50,114

Total number of pages including cover sheet, attachments, and documents

3

Name of Person Signing

Docket No.: _____

ASSIGNMENT

Whereas, Assignor has invented certain new and useful processes, machines, articles of manufacture, compositions of matter, and/or improvements thereof ("Invention") disclosed in

☒ an application for United States Letters Patent entitled **THIN FILM TRANSISTOR ARRAY PANEL AND LIQUID CRYSTAL DISPLAY INCLUDING THE PANEL** ("Application"); (Application Serial No.10/525,039 filed on 18 February, 2005)

☐ upon which United States Letters Patent, Patent Number _____, has issued ("Patent");

Whereas, Assignor desires to convey all rights, title and interests in and to the same to **SAMSUNG ELECTRONICS CO., LTD.** ("Assignee"), with offices located at 416, Maetan-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do 442-742, KOREA

Now, for valuable consideration by Assignee to Assignor, the receipt of which is hereby acknowledged, and for other good and valuable consideration, Assignee and Assignor hereby agree as follows:

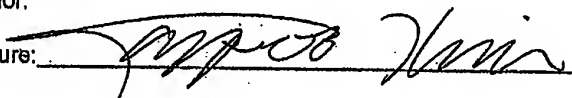
Assignor hereby conveys, assigns, sells and transfers to Assignee all rights, title and interests in and to the invention disclosed in said application and in and to any Letters Patent of the United States, any continuation, division, renewal, or substitute thereof, and hereby grant to Assignee the right to apply in its own name for patents or inventor's certificates and related rights heretofore or hereafter filed for the invention in any and all countries, including (without limitation) all rights to claim priority based thereon, all patents granted thereon and all reissues, extensions and renewals thereof.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Assignor further covenants that Assignee will, upon Assignee's request, be provided promptly with all pertinent facts and documents relating to the invention, Patent, Application and any patents granted thereon, as may be known and accessible to Assignor, and Assignor will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to Assignee or Assignee's legal representative any and all papers, instruments or affidavits required to apply for, protect, obtain, maintain, issue, defend and enforce the Application, Patent, invention, whether in the U.S. or any and all foreign countries and any patents granted thereon and/or for obtaining any reissue or reissues of any patent which may be granted for the invention and perform such further acts which may be necessary or desirable to carry out the intent of this agreement as the Assignee thereof shall hereafter require and prepare at assignee's expense..

IN WITNESS WHEREOF, Assignor has hereunto set hand and seal.

Assignor:

Signature: 

Print Name: KIM, Sang-Soo

Address: Samsung Apt. 107-202, Daechi 1-dong, Kangnam-ku, Seoul 440-150, Korea

Date: August 3, 2005

Docket No.: _____

Assignor:

Signature: Kim Donggyu

Print

Name: KIM, Dong-Gyu

Address: 523-1305, Poongduckcheon-ri 1167, Suji-eup, Yongin-city, Kyungki-do 449-846, Korea

Date: August 3, 2005

Assignor:

Signature: _____

Print

Name: _____

Address: _____

Date: _____

\\COM\368087.1